

AIBAC^{CP}



Association of International Bank Audit and Compliance Professionals Inc.

June 15, 2018 Annual Compliance Conference

Friday, June 15, 2018
7:30 am – 5:00 pm

Pace University
Aniello Bianco Room
3 Spruce Street, New York, NY 10038
(between Gold and William Streets)

Sponsors:

K2 Intelligence
Investigations • Compliance Solutions • Cyber Defense

PACE
UNIVERSITY
Lubin
School of Business

PRELIMINARY AGENDA

- 7:30 - 8:30 am** **Registration and Breakfast**
- 8:30 - 9:00 am** **Welcome Address**
Marvin Krislov, President, Pace University
Michele M. Fleming, Chair AIBACP
Jeremy M. Kroll, President & CEO, K2 Intelligence
- 9:00 - 9:45 am** **Reform and Strengthen BSA Enforcement (Congressional Testimony), Update on Terrorist Financing** - Dennis M. Lormel, President & CEO, DML Associates, LLC, and former FBI Agent
- 9:45 - 10:00 am** **Break**
- 10:00 - 10:45 am** **Foreign Bank CCO Panel**
Moderator: Angelo R. Aldana, MD, CCO & CLO, Mizuho Americas
Panelists: Joseph Loffredo, AGM China Merchants Bank; Luis Cifuentes, CCO Banco Bradesco; Andrew Mc Adams, CCO Agricultural Bank of China; Nisan Blum, CCO, Bank Leumi
- 10:45 - 11:00 am** **Break**
- 11:00 - 11:45 am** **Cryptocurrency Panel**
Moderator: Brandon Lee, Associate Managing Director, Regulatory Compliance, K2 Intelligence; Panelists: Jeffrey Alberts, Partner, Pryor Cashman; Gabriel I. Hidalgo, Esq., CCO, Nobel Bank International
- 11:45 - 1:15 pm** **Lunch**
- 1:15 - 2:15 pm** **Regulatory Panel**
Moderator: Thomas Bock, Executive Managing Director, K2 Intelligence
Panelists: Mark Shields, Director for International Banking Supervision, Comptroller of the Currency; Sean O'Malley, Chief Investigator and Sr. Vice President, Enforcement Division, FRBNY; Robert J. Chersi, Executive Director, Center for Global Governance, Reporting & Regulation, Lubin School of Business, Pace University
- 2:15 - 2:30 pm** **Break**
- 2:30 - 3:00 pm** **Keynote Address "Organizational Culture"**- Thomas C. Baxter Jr., Partner, Sullivan & Cromwell LLP, Former General Counsel and Executive Vice President, FRBNY
- 3:00 - 3:45 pm** **FinCen Final CDD Rule**
Moderator: Snežana Gebauer, Executive Managing Director and Head of U.S. Investigations and Disputes, K2 Intelligence; Panelists: Edwin Rivas, CCO, Bank of India; Mildred L. Harper, CCO, China Construction Bank
- 3:45 - 4:00 pm** **Board Member Election & Closing Remarks** – Michele M. Fleming
- 4:00 - 5:00 pm** **Networking Reception**

CPE Credits

6 CPE Credits will be awarded for attending this meeting. Non-member guests are welcome to attend at a cost of \$100 per person, which may be applied to the 2018 annual membership fee.

RSVP

Please register by Friday, June 8, 2018

Paperless Event

Program materials will be available in electronic format only and will be posted on the AIBACP web site